

U.S. Department of Justice
Washington, DC 20530

OMB No. 1124-0002; Expires April 30, 2017

Supplemental Statement

**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

For Six Month Period Ending 31st January 2015
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.
- Lloyd's of London 4883
- (c) Business Address(es) of Registrant
- One Lime Street
London
EC3M 7HA

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:
- | | | |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
- Yes ☐ No ☒
- If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐
- If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

(PAGE 2)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
------	----------	-----------------------

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
------	------------------------	-----------------

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
------	------------------------	-------------------	-----------------

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Lloyd's of London Market Representatives.

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☒

Exhibit B⁴ Yes ☐ No ☒

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Please see Appendix One.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please refer to Question 11.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☐ No ☒

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
------	-----------	---------	--------

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
-------------------	---------------	----------------	---------

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

(PAGE 6)

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
------	---------	---------	--------

Total

(PAGE 9)

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

26 FEBRUARY 2015

SP Yates
STEPHAN YATES

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

APPENDIX ONE – REPORTABLE ACTIVITIES

Date	Meeting	Attendees	Topic of Meeting
8/9/2014	Christopher Melly Deputy Assistant US Trade Representative for Services Amanda Horan Director for Services and Investment Office of the U.S. Trade Representative	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act.
8/9/2014	Erin Ingraham Legislative Director Office of Rep. Peter King	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
9/9/2014	Sarah Shive Legislative Assistant Jason LaGosh Legislative Counsel Office of Sen. Debbie Stabenow	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Paul Howard (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act.
9/9/2014	The Hon. Pete Sessions United States Representative	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
9/9/2014	The Hon. Randy Neugebauer United States Representative Clifford Roberti Professional Staff House Financial Services Committee	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act; Reauthorisation of the Terrorism Risk Insurance Act.

APPENDIX ONE – REPORTABLE ACTIVITIES

Date	Meeting	Attendees	Topic of Meeting
9/9/2014	Milan Dalal Senior Economic Advisor Lauren Santabar Legislative Correspondent Office of Sen. Mark Warner	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act.
9/9/2014	Michael McRaith Director, Federal Insurance Office U.S. Department of the Treasury	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act.
16/10/2014	Ashley Antoskiewicz Legislative Assistant Office of Rep. Jim Renacci (R-OH)	Joe Gunset (Lloyd's) Paul Howard (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
16/10/2014	Laura Swanson Deputy Staff Director Brett Hewitt Legislative Assistant Senate Banking Committee	Joe Gunset (Lloyd's) Paul Howard (A&P) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
16/10/2014	Jim Hall Legislative Director Office of Rep. Stephen Fincher (R-TN)	Joe Gunset (Lloyd's) Paul Howard (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
16/10/2014	Scott Hughes Legislative Assistant Office of Rep. Joe Heck (R-NV)	Joe Gunset (Lloyd's) Paul Howard (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.

APPENDIX ONE – REPORTABLE ACTIVITIES

Date	Meeting	Attendees	Topic of Meeting
16/10/2014	Zach Rosenblum Legislative Assistant Office of Sen. Charles Schumer (D-NY)	Joe Gunset (Lloyd's) Paul Howard (A&P) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
17/10/2014	Phil Goodman Senior Policy Analyst Kevin Meehan Policy Advisor Federal Insurance Office U.S. Department of the Treasury	Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act; Reauthorisation of the Terrorism Risk Insurance Act.
17/10/2014	Chad Ramey Legislative Director Office of Rep. Jaime Herrera Beutler (R-WA)	Joe Gunset (Lloyd's) Paul Howard (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
17/10/2014	David Stern Legislative Director Office of Rep. Andy Barr (R-KY)	Joe Gunset (Lloyd's) Paul Howard (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
6/11/2014	Michael McRaith Director Phil Goodman Senior Policy Analyst Kevin Meehan Policy Advisor Federal Insurance Office U.S. Department of the Treasury	Sean McGovern (Lloyd's) Joe Gunset (Lloyd's) Charles Landgraf (A&P)	Use of "covered agreement" authority under Title V of the Dodd-Frank Act.

APPENDIX ONE – REPORTABLE ACTIVITIES

Date	Meeting	Attendees	Topic of Meeting
19/11/2014	The Hon. Steve Scalise United States Representative House Majority Whip Lynnel Ruckert Chief of Staff to the Majority Whip	Joe Gunset (Lloyd's) Chip Rodgers (RER) Courtney Temple (USTA) Eric Smith (Swiss Re) Richard Coppola (TIAA-CREF)	Reauthorisation of the Terrorism Risk Insurance Act.
19/11/2014	The Hon. Tim Johnson United States Senator	Joe Gunset (Lloyd's) Charles Landgraf (A&P) Justin Ailes (ALTA) Eric Smith (Swiss Re)	Reauthorisation of the Terrorism Risk Insurance Act.
23/12/2014	Ben Harney Legislative Counsel Office of Rep. Carolyn Maloney (D-NY)	Joe Gunset (Lloyd's) Paul Howard (A&P) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
23/12/2014	Brendan Dunn Policy Advisor and Counsel Office of Sen. Mitch McConnell (R-KY) Senate Republican Leader	Joe Gunset (Lloyd's) Paul Howard (A&P) Charles Landgraf (A&P)	Reauthorisation of the Terrorism Risk Insurance Act.
23/12/2014	Laura Swanson Deputy Staff Director Senate Banking Committee Graham Steele Legislative Assistant Office of Sen. Sherrod Brown (D-OH)	Joseph Gunset (Lloyd's) Charles Landgraf (A&P) Paul Howard (A&P) Martin Schuh (CREFC) Tom Santos (AIA) Tom Litjen (PCIAA) Joel Wood (CIAB) Kirk Freeman (NAREIT) Johanna Chanin (Chubb)	Reauthorisation of the Terrorism Risk Insurance Act.

APPENDIX ONE – REPORTABLE ACTIVITIES

Date	Meeting	Attendees	Topic of Meeting
8/1/2015	Kellin Clark Legislative Assistant Office of Sen. Jon Tester (D-MT)	Joe Gunset (Lloyd's) Paul Howard (A&P) Daris Meeks (Venable)	Reauthorisation of the Terrorism Risk Insurance Act.

Received by NSD/FARA Registration Unit 02/27/2015 11:13:56 AM